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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/791,670	03/03/2004	Susumu Hoshino	053848-5019

09629
MORGAN LEWIS & BOCKIUS LLP
1111 PENNSYLVANIA AVENUE NW
WASHINGTON, DC 20004



CONFIRMATION NO. 2715
FORMALITIES LETTER



OC000000012735400

Date Mailed: 05/21/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$564 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1464 for a Large Entity

10/21/2004 HVUONG1 00000115 500310 10791670

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

01 FC:1001	790.00 DA
02 FC:1051	130.00 DA
03 FC:1202	306.00 DA
04 FC:1201	264.00 DA
05 FC:1253	980.00 DA

RECEIVED

MAY 24 2004

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- Total additional claim fee(s) for this application is \$564

- \$258 for 3 independent claims over 3.
- \$306 for 17 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

T.TU
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT
ATTORNEY DOCKET NO.: 053848-5019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Susumu HOSHINO et al.)
)
Application No.: 10/791,670) Group Art Unit: 3723
)
Filed: March 3, 2004) Examiner: Unassigned

For: DRESSING TOOL, DRESSING DEVICE, DRESSING METHOD, PROCESSING
DEVICE, AND SEMICONDUCTOR DEVICE PRODUCING METHOD

Commissioner of Patents
MAIL STOP MISSING PARTS

RESPONSE TO NOTICE TO FILE MISSING PARTS

1. This replies to the Notice to File Missing Parts of Nonprovisional Application mailed
May 21, 2004.

A copy of the Notice To File Missing Parts Of Nonprovisional Application is enclosed.

2. Declaration Or Oath

☒ No declaration or oath was filed. Enclosed is the original Combined Declaration and
Power of Attorney.

☐ The specification attached to the declaration is a copy of the specification and
any amendments thereto which were filed in the PTO to obtain the filing date.

☐ The declaration or oath which was filed was determined to be defective. A new
original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

☐ Submitted herewith is a verified English translation of the non-English language
application papers as originally filed. It is requested that this translation be used as the
copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document.

4. Small Entity Status

☐ Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

10/21/2004 HUJONG1 0000115 10791670

01-FC-1401 790.00 DA
02-FC-1401 130.00 DA
03-FC-1401 306.00 DA
04-FC-1401 264.00 DA
05-FC-1401 980.00 DA

5. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☒ Applicants petition for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

Total months requested	Fee for extension	[fee for Small Entity]
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 430.00	\$215.00
<input checked="" type="checkbox"/> three months	\$ 980.00	\$490.00
<input type="checkbox"/> four months	\$1,530.00	\$765.00
<input type="checkbox"/> five months	\$2,080.00	\$1,040.00

Extension of time fee due with this request: \$980.00

If an additional extension of time is required, please consider this a Petition therefor.

- ☐ Applicants believe that no extension of time is required. However, in the event applicants have inadvertently overlooked the need for a petition and fee for extension of time, applicants hereby petition for such an extension and requests that any fee therefor be charged to our Deposit Account No. 50-0310.

6. Assignment

- ☒ An assignment of the invention to Nikon Corporation and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

7. Fee Calculation

				Fee Code	
Basic Fee				\$790.00	1001/2001
	Number Filed	Number Extra	at a Rate of (Lg./Sm.Entity)		
Total Claims	37 - 20 =	17	\$18/\$9 each=	\$306.00	1202/2202
Independent Claims	6 - 3 =	3	\$88/\$44 each=	\$264.00	1201/2201
Multiple dependent claim(s), if any			\$290/\$145	\$	1203/2203
SUB-TOTAL =				\$1,360.00	
Late Filing Surcharge Fee (37 C.F.R. § 1.16(e))			\$130/\$65	\$130.00	1051/2051
Extension Fee (37 C.F.R. § 1.17(a)(1)-(5))			\$110/\$55 (1 Month)		1251/2251
			\$430/\$215 (2 Months)		1252/2252
			\$980/\$490 (3 Months)		1253/2253
			\$1530/\$765 (4 Months)		1254/2254
			\$2080/\$1040 (5 Months)	\$980.00	1255/2255
SUB-TOTAL =				\$2,470.00	
Fee For Application Filed With A Non-English Specification (37 C.F.R. § 1.17(i) and § 1.52(d))			\$130.00	\$	1053
Assignment Recordation Fee			\$ 40.00	\$40.00	8021
TOTAL FILING FEE =				\$2,510.00	

8. Fee Payment

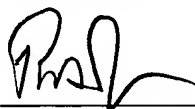
- ☒ The Commissioner is hereby authorized to charge the total fee due in the amount of **\$2,510.00** to Deposit Account 50-0310.
- ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

9. Additional papers enclosed.

- ☐ Preliminary Amendment
- ☐ Submission of Formal Drawings with _____ sheets of drawings
- ☐ Claim for Priority
- ☐ Certified copy of priority document(s):
- ☒ International Search Report
- ☒ Information Disclosure Statement
- ☒ Form PTO-1449, 9 references as listed
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Other:

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP



Robert J. Goodell Reg. No. 41,040

Date: October 20, 2004

CUSTOMER NO. 09629

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